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IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Stephen R. CARTER and Delos C. JENSEN Conf. No. 8081
Serial No.: 09/654,660 Examiner: LEZAK, Arrienne
Filing Date: September 5, 2000 Art Unit: 2143
Title: POLICY ENFORCEMENT USING THE SEAMANTIC CHARACTERIZATION OF TRAFFIC

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97 (b); or
(Within three months of filing national application; or date of entry of International application;
or before mailing date of first office action on the merits; or before the mailing of a first Office
Action after the filing of a request for continued examination under §1.114; whichever occurs
last)
- ☒ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97 (e), or
☒ a \$180 fee under 37 CFR 1.17 (p); or
(After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)
- ☐ under 37 CFR 1.97 (d) together with:
☐ Statement under 37 CFR 1.97 (e), and
☐ a \$180.00 fee set forth in 37 CFR 1.17 (p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of

the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449- Information Disclosure Citation together with
copies of non-US patents, publications or other information of which applicant(s) are aware, which applicant(s)
believe(s) may be material to the examination of this application and for which there may be a duty to disclose in
accordance with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A
duplicate copy of this sheet is enclosed.

Customer No. 45842

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

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PATENT APPLICATION

Atty. Docket No.: 6647-017
Applicant: Stephen R. CARTER and Delos C. JENSEN
Filing Date: September 5, 2000

Serial No. 09/654,660
Group: 2143

**INFORMATION DISCLOSURE CITATION
FORM PTO-1449 (Modified)****U.S. PATENT DOCUMENTS**

Exam Init	Ref	Document Number	Issue Date	Name	Class	Sub Class
_____	_____	5,278,980	01/1994	Pedersen, et al		
_____	_____	5,937,400	08/1999	Au		
_____	_____	6,006,221	12/1999	Liddy		
_____	_____	6,085,201	07/2000	Tso		
_____	_____	6,122,628	09/2000	Castelli		
_____	_____	6,493,663	12/2002	Ueda		

OTHER DOCUMENTS

Exam Init	Ref	Author, Title, Date, Pertinent Pages, Etc.)
_____	_____	Onyshkevych and Nirenburg; " <u>A Lexicon for Knowledge-Based MT</u> "; Undated (pages 1-49)
_____	_____	Mahesh; " <u>Ontology Development for Machine Translation: Ideology and Method</u> "; Computing Research Laboratory, New Mexico State University; Undated (87 pages)

Examiner: _____

Date Considered: _____